

## Introduction

### Problem

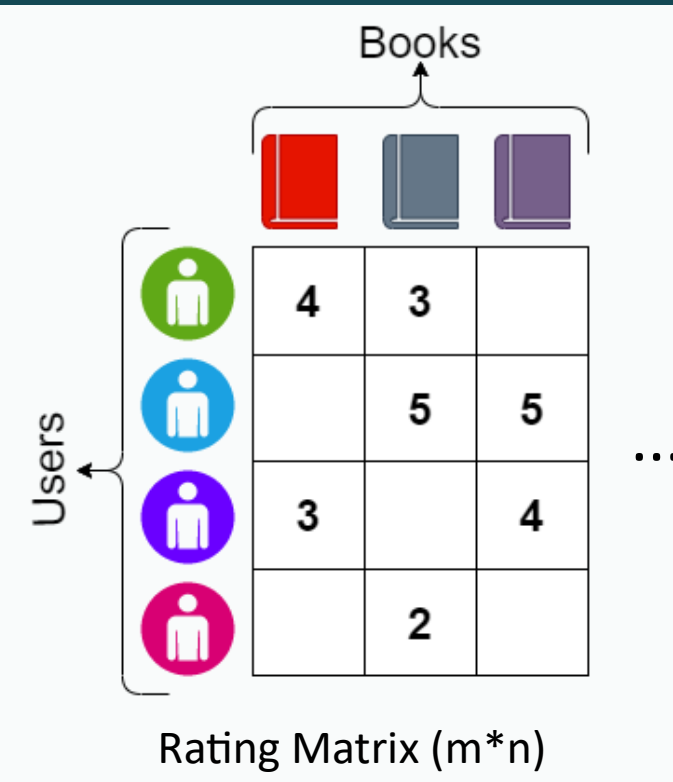
- With the increasing number of books, it becomes difficult to choose suitable books.
- Reading the back cover of the book may not be an effective way.

### Solution

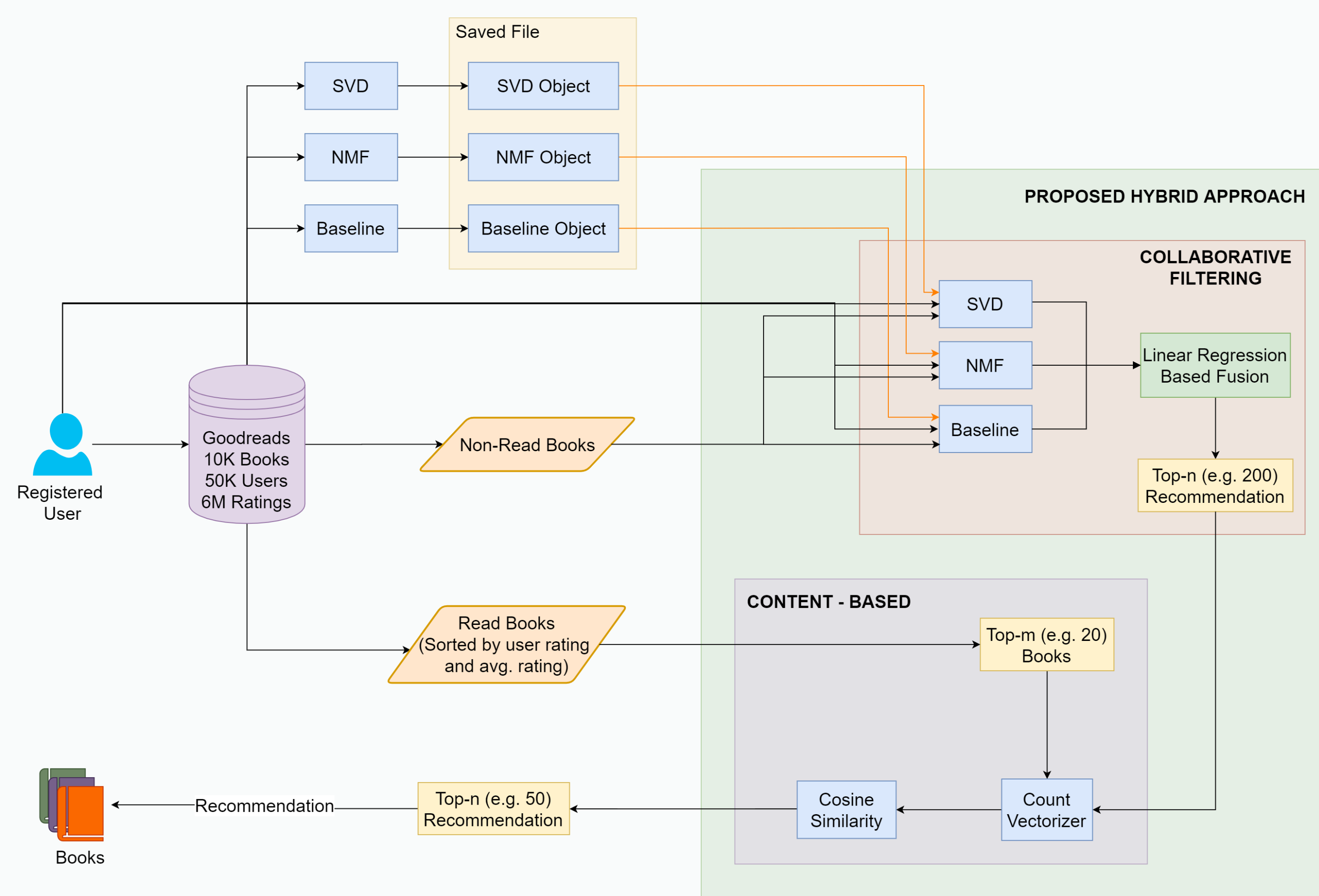
- Our goal is to build a recommendation system, which considers historical ratings of users and metadata of books.
- In order to give more accurate recommendations, we implemented a hybrid method in our recommendation system.

## Proposed Hybrid Approach

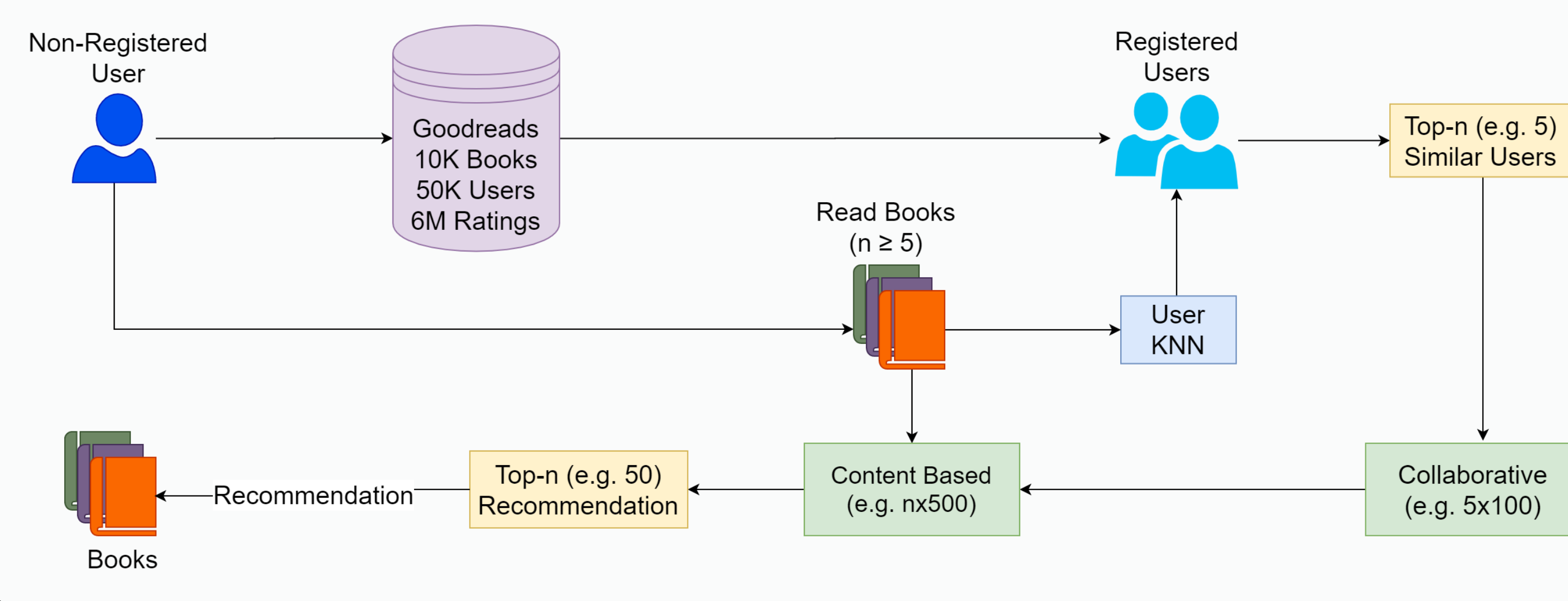
- There are two main methods widely used in the recommender systems.
- Collaborative Filtering** methods use a rating matrix.
- Content Based** methods use metadata of books (e.g. author, genre).
- In our **Hybrid** approach, we first fused 3 collaborative filtering methods and then applied content based methods to improve the results.



## Proposed Method for Registered User



## Proposed Method for Non-Registered User



## Algorithms

### Baseline Algorithm

- All users and items have bias.
- Try to find the most appropriate value for biases.
- Update biases in each iteration.

$$\hat{r}_{ui} = \mu + b_u + b_i$$

$$e_{ui} = r_{ui} - \hat{r}_{ui}$$

$$b_u \leftarrow b_u + \gamma(e_{ui} - \lambda b_u)$$

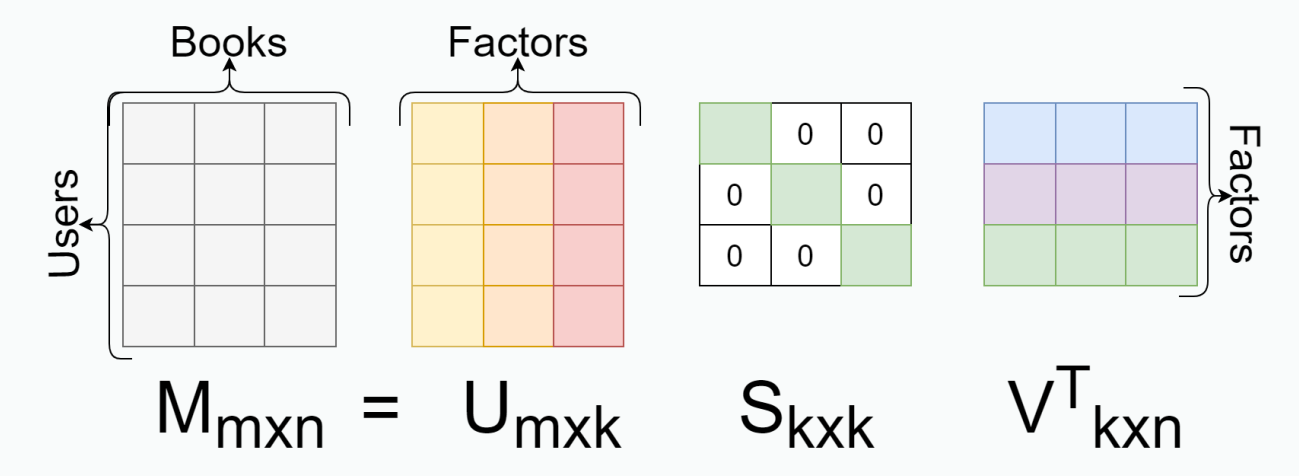
$$b_i \leftarrow b_i + \gamma(e_{ui} - \lambda b_i)$$

$\hat{r}_{ui}$  : Estimated element of rating matrix  
 $b_u$  : User bias  
 $b_i$  : Item bias

## Algorithms

### Singular Value Decomposition (SVD)

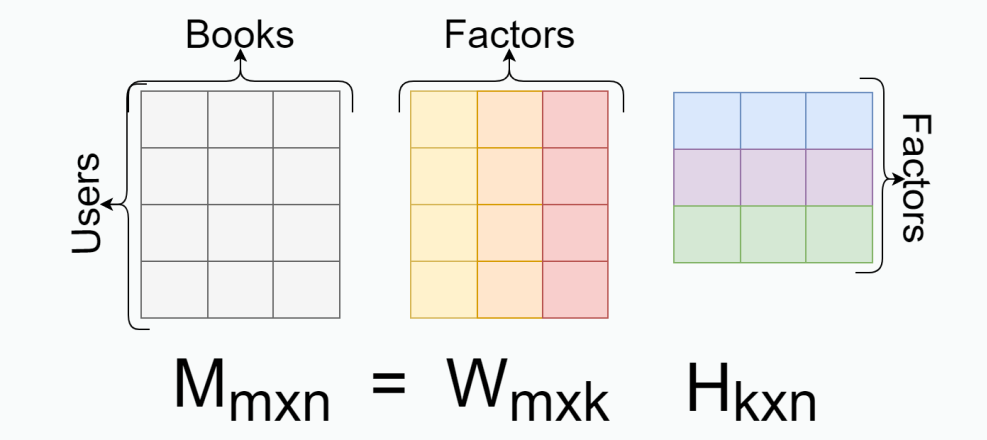
- Decompose Rating Matrix into three matrices.
- Try to fill empty cells by recomposing matrix M.



$$M_{m \times n} = U_{m \times k} S_{k \times k} V^T_{k \times n}$$

### Non-Negative Matrix Factorization (NMF)

- Decompose Rating Matrix into two matrices.
- Try to fill empty cells by recomposing matrix M.
- All values in matrices are non-negative.



$$M_{m \times n} = W_{m \times k} H_{k \times n}$$

### Linear Regression Based Fusion

- Combine all Collaborative Filtering algorithms using linear regression to get a more accurate rate estimate.
- Regression coefficients are learned using validation set.

$$\hat{r} = \alpha_0 + \alpha_1 \hat{r}_{SVD} + \alpha_2 \hat{r}_{NMF} + \alpha_3 \hat{r}_{BL}$$

### Count Vectorizer

- Basically counts given features (authors, genres) in specified documents.
- Select Collaborative Filtering results, which have most similar attributes (authors, genres) to read books to get more accurate results.

### K-Nearest Neighbor

- Find most similar users to current user by applying cosine similarity using rows of rating matrix.

## Experimental Results

### Data Sets

Dataset Name	Number of Users	Number of Items	Number of Ratings	Density
GoodBooks-10K	53,424	10,000	5,976,479	0.011
MovieLens-1M	6,040	3,952	1,000,000	0.042

### Rating Metrics

Methods	RMSE
NMF	0.910
SVD	0.858
Base Line	0.907
I-Autorec [1]	0.831
Sparse FC [2]	<b>0.824</b>
RNMF [3]	0.871
MIXD [3]	0.861
Proposed Hybrid	0.855

Methods	RMSE
NMF	0.859
SVD	0.841
Base Line	0.856
Co-Clustering [4]	0.873
Slope One [4]	0.856
Proposed Hybrid	<b>0.839</b>

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (r_{ui} - \hat{r}_{ui})^2}{n}}$$

$$Precision = \frac{TP}{TP + FP}$$

$$Recall = \frac{TP}{TP + FN}$$

$$F1 = 2 * \frac{Precision * Recall}{Precision + Recall}$$

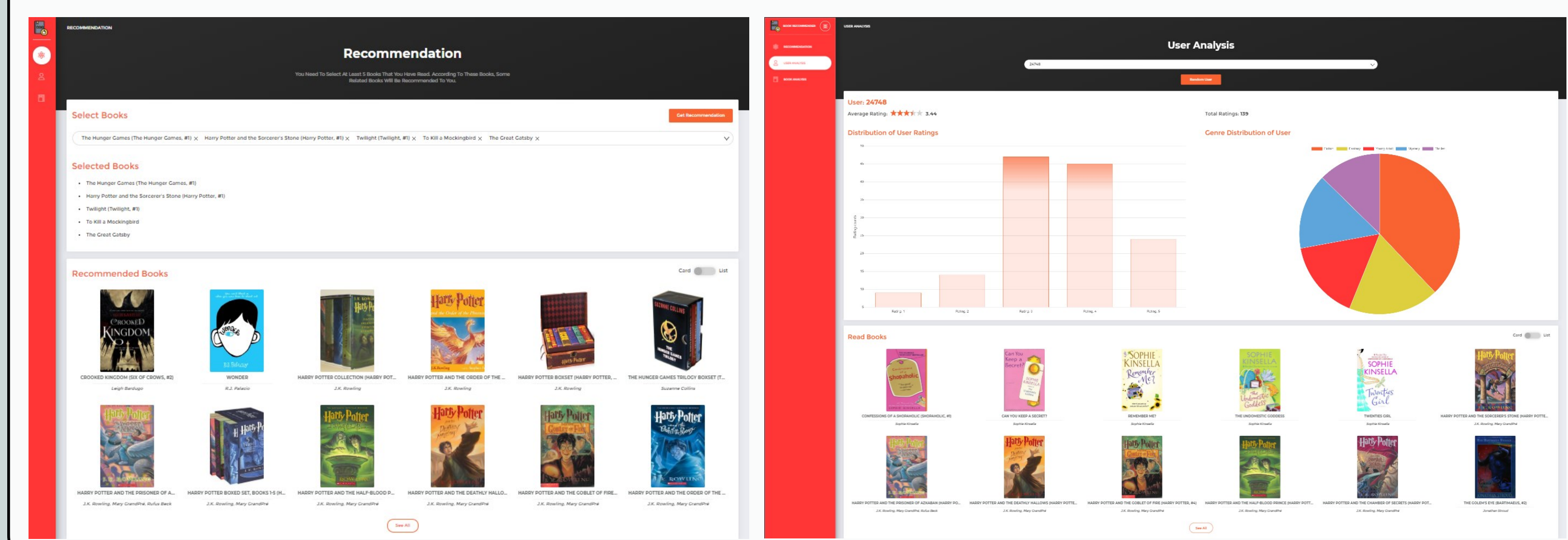
### Classification Metrics

- Item with a rating value higher than 4 in the test set are considered as a relevant item.
- Precision calculates how many recommended items are relevant.
- Recall calculates how many related items are recommended.
- F1 score gives harmonic mean of precision and recall values.
- The system is better when these values are higher.

Methods	Precision@10	Recall@10	F1@10
TC-CML [5]	0.66	0.13	0.22
Variant [6]	0.27	0.13	0.36
Proposed Hybrid	<b>0.67</b>	<b>0.76</b>	<b>0.66</b>

Methods	Precision@10	Recall@10	F1@10
Proposed Hybrid	<b>0.70</b>	<b>0.49</b>	<b>0.55</b>

## User Interface of Book Recommendation System



## Conclusion

- Designed a hybrid book recommendation system, which combines collaborative filtering and content based methods in a novel way.
- One of our contributions is Linear Regression Based Fusion of 3 collaborative filtering results.
- Our results are better than state-of-the-art in the terms of RMSE on Goodbooks-10K dataset.
- Recommendation system gives a dataset coverage of 23% for k=10.
- As a future work, coverage can be improved by recommending unrecommended books with high ratings.

## References

- [1] S. Sedhain, A. K. Menon, S. Sanner, L. Xie, Autorec: Autoencoders meet collaborative filtering, in: Proceedings of the 24th International Conference on World Wide Web Companion, International World Wide Web Conferences Steering Committee, 2015.
- [2] L. K. Muller, J. N. P. Martel, G. Indiveri, Kernelized Synaptic Weight Matrices, in: Proceedings of the 35th International Conference on Machine Learning, 2018.
- [3] G. M. Del Corso, F. Romani, Adaptive nonnegative matrix factorization and measure comparisons for recommender systems, in: Applied Mathematics and Computation 354, 2019
- [4] "Algorithms Comparison" <https://github.com/dorukkiltcioglu/books2rec> [Accessed: 20/12/2019]
- [5] B. Paudel, S. Luck, A. Bernstein, Loss Aversion in Recommender Systems: Utilizing Negative User Preference to Improve Recommendation Quality, in: Proceedings of The First International Workshop on Context-Aware Recommendation Systems with Big Data Analytics (CARSDA), 2019
- [6] J. Wilson, S. Chaudhury, B. Lall, P. Kapadia, Improving Collaborative Filtering based Recommenders using Topic Modelling, 2014

## Technologies Used

